



Model Management (XPN/AP7)

Available Managers and Pricing

The American Portfolios Advisors, Inc. (APA) managers, strategies, minimums and fees are subject to change without notice. Thirty days written notice will be provided if pricing increases for existing accounts with a specific manager strategy.

Breakpoint pricing is available starting at \$500,000 and is based on each account's value. The breakpoint schedule is automatically applied to eligible accounts. Please confer with your Investment Advisor Representative (IAR) for detailed information on the breakpoint schedule.

Manager	Investment Style	Account Minimums	Manager Code/ Program Fee
4Thought Financial Group			
Contact: Jesse Mackey (516) 300-1617 www.4tfg.com	4Thought Mutli-Contingency Unconstrained	\$25,000	(4TF0301) 55 bps
	4Thought Opportunistic Systematic Investing	\$25,000	(4TF0302) 55 bps
Advisors Capital Management			
Contact: Claire Comerford (201) 447-3400 www.advisorscapital.com	Model Global Dividend	\$100,000	(ACM0301) 70 bps
	Model Global Growth	\$100,000	(ACM0302) 70 bps
	Model International Dividend	\$100,000	(ACM0303) 70 bps
	Model Mid/Small Cap	\$100,000	(ACM0304) 70 bps
Alesco Advisors, LLC			
Contact: Justin Sansone (585) 586-0970 www.alescoadvisors.com	Global Balanced	\$50,000	(ALE0301) 55 bps
Andersen Capital Management			
Contact: Peter C Andersen (617) 678-2002 www.andersencapitalmanagement.com	Weather Mark	\$100,000	(AND0301) 70 bps
Argus Investors' Counsel			
Contact: Richard Ciuba (212) 425-7500 www.argusinvest.com	Core Equity	\$100,000	(ARG0301) 55 bps
ARK Investment Management			
Contact: Lisa Langley, Emerge Capital Management (716) 970-4512/ 887-8EMERGE360 www.ark-invest.com	Disruptive Innovation Global Growth	\$60,000	(ARK0301) 75 bps

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Beacon Capital Management, Inc.			
Contact: Kartha James (937) 569-4068 www.beaconinvesting.com	Beacon Vantage 2.0 Aggressive	\$25,000	(ERG0301) 55 bps
	Beacon Vantage 2.0 Balanced	\$25,000	(ERG0302) 55 bps
	Beacon Vantage 2.0 Conervative	\$25,000	(ERG0303) 55 bps
	Beacon Vantage 3.0 Aggressive	\$25,000	(ERG0304) 55 bps
	Beacon Vantage 3.0 Balanced	\$25,000	(ERG0305) 55 bps
Beaumont Capital Management			
Contact: Jim Bulger (201) 201-5329 www.investbcm.com	BCM Decathlon Growth Tactics	\$40,000	(BCM0301) 55 bps
	BCM Decathlon Moderate Tactics	\$40,000	(BCM0302) 55 bps
Berkshire Asset Management			
Contact: Jason Reilly (570) 825-2600 www.berkmgt.com	Dividend Growth and Income Strategy	\$40,000	(BAM0301) 55 bps
BlackRock Investment Management			
Contact: Brad Stanger (646) 231-1603 www.blackrock.com	Blackrock Target Allocation ETF - 0/100	\$25,000	(BRK0301) 35 bps
	Blackrock Target Allocation ETF - 10/90	\$25,000	(BRK0302) 35 bps
	Blackrock Target Allocation ETF - 100/0	\$25,000	(BRK0303) 35 bps
	Blackrock Target Allocation ETF - 20/80	\$25,000	(BRK0304) 35 bps
	Blackrock Target Allocation ETF - 30/70	\$25,000	(BRK0305) 35 bps
	Blackrock Target Allocation ETF - 40/60	\$25,000	(BRK0306) 35 bps
	Blackrock Target Allocation ETF - 50/50	\$25,000	(BRK0307) 35 bps



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BlackRock Investment Management - Continued			
Contact: Brad Stanger (646) 231-1603 www.blackrock.com	Blackrock Target Allocation ETF - 60/40	\$25,000	(BRK0308) 35 bps
	Blackrock Target Allocation ETF - 70/30	\$25,000	(BRK0309) 35 bps
	Blackrock Target Allocation ETF - 80/20	\$25,000	(BRK0310) 35 bps
	Blackrock Target Allocation ETF - 90/10	\$25,000	(BRK0311) 35 bps
Broxton Capital Advisors			
Contact: Allen Cooke (310) 208-2151 www.broxtoncapital.com	Alpha Portfolio	\$25,000	(BCA0301) 75 bps
Capital Group (Am Funds)			
Contact: Advisor Marketing (800) 421-9900 www.thecapitalgroup.com	American Funds Moderate Growth and Income Model Portfolio	\$25,000	(PSMGI3) 35 bps
	American Funds Tax-Exempt Preservation Model	\$25,000	(PSTEP3) 35 bps
	American Funds Preservation Model	\$25,000	(PSP003) 35 bps
	American Funds Tax-Aware Conservative Growth and Income Model	\$25,000	(PSAGI3) 35 bps
	American Funds Conservative Growth and Income Model Portfolio	\$25,000	(PSCGI3) 35 bps
	American Funds Growth and Income Model	\$25,000	(PSGI03) 35 bps
	American Funds Growth Model	\$25,000	(PSG003) 35 bps
	American Funds Global Growth Model	\$25,000	(PSGG03) 35 bps
	American Funds Retirement Income-Conservative Model	\$25,000	(RIC003) 35 bps
	American Funds Retirement Income-Moderate Model	\$25,000	(RIM003) 35 bps



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Capital Group (Am Funds) - Continued			
Contact: Advisor Marketing (800) 421-9900 www.thecapitalgroup.com	American Funds Retirement Income-Enhanced Model	\$25,000	(RIE003) 35 bps
	American Funds Conservative Income Model	\$25,000	(AFCI03) 35 bps
	American Funds Moderate Growth Model	\$25,000	(AFMG03) 35 bps
	American Funds Tax-Aware Growth and Income Model Portfolio	\$25,000	(TAGIF3) 35 bps
	American Funds Tax-Aware Moderate Growth and Income Model Portfolio	\$25,000	(TAMGF3) 35 bps
	American Funds Tax-Aware Moderate Income Model Portfolio	\$25,000	(TAMIF3) 35 bps
	American Funds Tax-Aware Conservative Income Model Portfolio	\$25,000	(TACVF3) 35 bps
Capital Group (SMA)			
Contact: Advisor Marketing (800) 421-9900 www.thecapitalgroup.com	Capital Group US Equity	\$100,000	(EUSEQT) 55 bps
	Capital Group Global Equity	\$100,000	(EGLEQT) 55 bps
	Capital Group World Dividend Growers	\$100,000	(EDIVGR) 55 bps
	Capital Group Non US/International Equity	\$100,000	(EINTEQ) 55 bps
	Capital Group Global Growth	\$100,000	(EGLGRW) 55 bps
	Capital Group International Growth	\$100,000	(EINGRW) 55 bps
	Capital Group U.S. Core	\$100,000	(EUSCOR) 55 bps
	Capital Group U.S. Growth	\$100,000	(EUSGRW) 55 bps
	Capital Group U.S. Income & Growth	\$100,000	(EUSIGR) 55 bps

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Clark Capital			
Contact: Joseph Bell (800) 766-2264, ext. 260 www.ccmg.com	Navigator MultiStrategy 75-25	\$25,000	(CCM0302) 35 bps
	Navigator MultiStrategy 50-50	\$25,000	(CCM0303) 35 bps
	Navigator MultiStrategy 25-75	\$25,000	(CCM0304) 35 bps
	Navigator Global Tactical	\$25,000	(CCM0305) 70 bps
	Navigator Global Risk Managed Conservative	\$25,000	(CCM0308) 35 bps
	Navigator Global Risk Managed Moderate	\$25,000	(CCM0309) 35 bps
	Navigator Global Risk Managed Growth	\$25,000	(CCM0310) 35 bps
Congress Asset Management			
Contact: Vadim Levin (617) 428-4383 www.congressasset.com	Large Cap Growth	\$100,000	(CAM0301) 75 bps
	Mid Cap Growth	\$50,000	(CAM0302) 75 bps
	Small Cap Growth	\$50,000	(CAM0303) 75 bps
	Multi Cap Growth	\$100,000	(CAM0304) 75 bps
	Dividend Growth	\$50,000	(CAM0305) 75 bps
	Large Cap Balanced	\$150,000	(CAM0307) 75 bps
	Multi Cap Balanced	\$150,000	(CAM0308) 75 bps
	SMID Growth	\$50,000	(CAM0309) 75 bps

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Dana Investment Advisors			
Contact: Mark Mirsberger (262) 782-9420 www.danainvestment.com	Social ESG Equity Strategy	\$75,000	(DIA0301) 70 bps
Davis Advisors			
Contact: Dodd Kittsley (212) 891-5578 www.DavisAdvisors.com	Davis Global ADR	\$50,000	(DAV0301) 70 bps
	Davis Large Cap	\$50,000	(DAV0302) 70 bps
Dividend Assets Capital			
Contact: Jonathan Parrott (843) 645-9700 www.dacapitalsc.com	DAC Equity	\$50,000	(DAC0301) 75 bps
	DAC North American Midstream Energy	\$100,000	(DAC0302) 75 bps
Donoghue Forlines, LLC			
Contact: Curt Meyer (401) 954-7220 www.donoghueforlines.com	Donoghue Forlines Income	\$25,000	(WED0301) 35 bps
	Donoghue Forlines Dividend & Yield	\$25,000	(WED0302) 35 bps
	Donoghue Forlines Global Tactical Conservative	\$25,000	(WED0309) 50 bps
	Donoghue Forlines Global Tactical Income	\$25,000	(WED0311) 50 bps
	Donoghue Forlines Treasury	\$25,000	(WED0313) 55 bps
Franklin Templeton			
Contact: Peter Conneely (516) 633-8007 www.franklintempleton.com	Stability	\$25,000	(TFN0301) 35 bps
	Rising Rate Defense	\$25,000	(TFN0302) 35 bps
	Tax-Advantaged Stability	\$25,000	(TFN0303) 35 bps
	Income	\$25,000	(TFN0304) 35 bps
	Tax-Advantaged Income	\$25,000	(TFN0305) 35 bps

Manager	Investment Style	Account Minimums	Manager Code/ Program Fee
Franklin Templeton - Continued			
Contact: Peter Conneely (516) 633-8007 www.franklintempleton.com	Growth & Income	\$25,000	(TFN0306) 35 bps
	Growth & Enhanced Income	\$25,000	(TFN0307) 35 bps
	Tax-Advantaged Growth & Income	\$25,000	(TFN0308) 35 bps
	Growth	\$25,000	(TFN0309) 35 bps
	Moderate Growth	\$25,000	(TFN0310) 35 bps
	Conservative Growth	\$25,000	(TFN0311) 35 bps
	Equity Growth	\$25,000	(TFN0312) 35 bps
Global X Management Company			
Contact: Stephen Cirillo (646) 757-5418 www.globalxetfs.com	Equity Income ETF Model Portfolio	\$25,000	(EZR0301) 35 bps
Hilton Capital Management			
Contact: Kevin McCarthy (646) 572-3388 www.HiltonCM.com	Dividend & Yield Strategy	\$50,000	(HCM0301) 55 bps
	Efficient Tactical Income	\$25,000	(HCM0302) 55 bps
	Tactical Income Hybrid	\$50,000	(HCM0303) 70 bps
	Small & Midcap Opportunity	\$50,000	(HCM0304) 70 bps
Horizon Investments			
Contact: Sarah Weese (980) 250-2315 www.horizoninvestments.com	Horizon Focus with Risk Assist	\$50,000	(EWC0301) 35 bps
	Horizon Growth with Risk Assist	\$50,000	(EWC0302) 35 bps
	Horizon Moderate with Risk Assist	\$50,000	(EWC0303) 35 bps

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Hudson Valley Investment Advisors			
Contact: Gus Scacco (845) 294-6127 www.hviaonline.com	HVIA Select Equity	\$150,000	(EUH0301) 70 bps
ICON Advisers			
Contact: Brian Rotter (303) 328-9254 www.investwithicon.com	US Conservative	\$25,000	(ICN0301) 25 bps
	US Moderate	\$25,000	(ICN0302) 25 bps
	US Growth	\$25,000	(ICN0303) 25 bps
	US Income	\$25,000	(ICN0304) 25 bps
	International Growth	\$25,000	(ICN0308) 25 bps
	SBI Global Conservative	\$25,000	(ICN0309) 35 bps
	SBI Global Moderate	\$25,000	(ICN0310) 35 bps
	SBI Global Growth	\$25,000	(ICN0311) 35 bps
Invesco Advisers, Inc.			
Contact: Sal Maia (212) 323-4375 www.invesco.com/us	Invesco International Diversification Blend Portfolio	\$25,000	(INV0301) 35 bps
	Invesco International Diversification Equity Portfolio	\$25,000	(INV0302) 35 bps
	Invesco US Factor Rotation Portfolio	\$25,000	(INV0303) 35 bps
	Invesco Bulletshares Corporate 0-10 Years	\$10,000	(INV0304) 35 bps
	Invesco Bulletshares Corporate 0-3 Years	\$10,000	(INV0305) 35 bps
	Invesco Bulletshares Corporate 0-5 Years	\$10,000	(INV0306) 35 bps
	Invesco Bulletshares Corporate 0-7 Years	\$10,000	(INV0307) 35 bps
	Invesco Bulletshares Municipal 0-10 Years	\$10,000	(INV0308) 35 bps
	Invesco Bulletshares Municipal 0-3 Years	\$10,000	(INV0309) 35 bps



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Invesco Advisers, Inc. - Continued			
Contact: Sal Maia (212) 323-4375 www.invesco.com/us	Invesco Bulletshares Municipal 0-5 Years	\$10,000	(INV0310) 35 bps
	Invesco Bulletshares Municipal 0-7 Years	\$10,000	(INV0311) 35 bps
	Invesco Pinnacle Series: Hybrid Plus 20 MM Portfolios	\$10,000	(INV0312) 35 bps
	Invesco Pinnacle Series: Hybrid Plus 40 MM Portfolios	\$10,000	(INV0313) 35 bps
	Invesco Pinnacle Series: Hybrid Plus 60 MM Portfolios	\$10,000	(INV0314) 35 bps
	Invesco Pinnacle Series: Hybrid Plus 80 MM Portfolios	\$10,000	(INV0315) 35 bps
	Invesco Pinnacle Series: Hybrid Plus Equity MM Portfolios	\$10,000	(INV0316) 35 bps
Logan Capital Management, Inc.			
Contact: Chris Travers (215) 851-9499 www.logancapital.com	Concentrated Value	\$50,000	(LCM0301) 70 bps
	Large Cap Growth	\$100,000	(LCM0302) 70 bps
	Core 60/40	\$150,000	(LCM0303) 70 bps
	International ADR	\$50,000	(LCM0304) 70 bps
	Growth	\$50,000	(LCM305) 65 bps
Nasdaq Dorsey Wright			
Contact: Rob DeAngelo (212) 401-8970 www.dorseywright.com	SRS Growth	\$60,000	(NDW0301) 75 bps
	SRS International	\$60,000	(NDW0302) 75 bps
	SRS Global Macro	\$60,000	(NDW0303) 75 bps
	DWA Systematic RS Tactical Fixed Income	\$40,000	(NDW0304) 75 bps

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Nine Points Investment Management (NPIM)			
Contact: Clifford Walsh (631) 439-4600, ext. 277 www.npim.com	Nine Points ETF Income	\$10,000	(NPI0301) 35 bps
	Nine Points ETF Income & Growth	\$10,000	(NPI0302) 35 bps
	Nine Points ETF Growth & Income	\$10,000	(NPI0303) 35 bps
	Nine Points ETF Growth	\$10,000	(NPI0304) 35 bps
	Nine Points ETF Aggressive Growth	\$10,000	(NPI0305) 35 bps
	Nine Points MF Income	\$25,000	(NPI0306) 35 bps
	Nine Points MF Income & Growth	\$25,000	(NPI0307) 35 bps
	Nine Points MF Growth & Income	\$25,000	(NPI0308) 35 bps
	Nine Points MF Growth	\$25,000	(NPI0309) 35 bps
	Nine Points MF Aggressive Growth	\$25,000	(NPI0310) 35 bps
	Nine Points Impact Income	\$25,000	(NPI0311) 35 bps
	Nine Points Impact Income & Growth	\$25,000	(NPI0312) 35 bps
	Nine Points Impact Growth & Income	\$25,000	(NPI0313) 35 bps
	Nine Points Impact Growth	\$25,000	(NPI0314) 35 bps
	Nine Points Impact Aggressive Growth	\$25,000	(NPI0315) 35 bps
	Nine Points Socially Responsible All Stock	\$50,000	(NPI0316) 35 bps
	Nine Points ThemeCatcher	\$50,000	(NPI0317) 70 bps
	Nine Points Cash Alternative Model	\$5,000	(NPI0318) 35 bps
Nine Points Equity	\$10,000	(NPI0319) 35 bps	
Nine Points Fixed Income	\$10,000	(NPI0320) 35 bps	

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Nine Points Investment Management (NPIM) - Continued			
Contact: Clifford Walsh (631) 439-4600, ext. 277 www.npim.com	Nine Points Taxable Fixed Income	\$10,000	(NPI0321) 35 bps
	Nine Points Alternatives	\$10,000	(NPI0322) 35 bps
	Nine Points ThemeCatcher Offense	\$50,000	(NPI0323) 70 bps
Northern Trust Investments, Inc.			
Contact: Vernon Biggs (646) 400-1825 www.northerntrust.com	Quality ESG US Equity	\$100,000	(NOT0301) 55 bps
	Quality Low Volatility	\$100,000	(NOT0302) 55 bps
Novem Group			
Contact: Thomas Lyons (585) 348-9456 www.novemgroup.com	Novem Capital Appreciation	\$25,000	(NOV0303) 55 bps
	Novem Intrinsic Value	\$50,000	(NOV0304) 55 bps
	Novem Dividend Select	\$25,000	(NOV0305) 55 bps
Putnam Investment Management			
Contact: Stephen Olsen (978) 407-9411 www.putnam.com	Putnam International Durable Equity ADR	\$30,000	(EZQ0301) 70 bps
	Putnam Sustainable Future	\$80,000	(EZQ0302) 70 bps
	Putnam Sustainable Leaders	\$100,000	(EZQ0303) 70 bps
	Putnam U.S. Large Cap Growth Equity Concentrated	\$80,000	(EZQ0304) 70 bps
	Putnam U.S. Large Cap Value Equity Concentrated	\$40,000	(EZQ0305) 70 bps
	Putnam U.S. Mutli-Cap Core Equity Concentrated	\$100,000	(EZQ0306) 70 bps
	Putnam U.S. Small Cap Growth Equity	\$80,000	(EZQ0307) 70 bps
	Putnam U.S. Small Cap Value Equity	\$40,000	(EZQ0308) 70 bps



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Rothschild Asset Management			
Contact: Eric Rowan (248) 224-6224 Contact: Christopher Genovese (AAM) (631) 424-6831, ext. 1 www.rothschild.com	Large Cap Core	\$100,000	(RAM0301) 70 bps
Russell Investment Management			
Contact: Joseph McNally (212) 702-7876 www.russellinvestments.com	Core Conservative Model	\$10,000	(RIM0301) 35 bps
	Core Moderate Model	\$10,000	(RIM0302) 35 bps
	Core Balanced Model	\$10,000	(RIM0303) 35 bps
	Core Growth Model	\$10,000	(RIM0304) 35 bps
	Core Equity Growth Model	\$10,000	(RIM0305) 35 bps
	Core Moderate Growth Model	\$10,000	(RIM0306) 35 bps
	Core Balanced Growth Model	\$10,000	(RIM0307) 35 bps
	Tax Managed Conservative Model	\$10,000	(RIM0308) 35 bps
	Tax Managed Moderate Model	\$10,000	(RIM0309) 35 bps
	Tax Managed Balanced Model	\$10,000	(RIM0310) 35 bps
	Tax Managed Growth Model	\$10,000	(RIM0311) 35 bps
	Tax Managed Equity Growth Model	\$10,000	(RIM0312) 35 bps
	Tax-Managed Moderate Growth Model	\$10,000	(RIM0313) 35 bps
	Tax-Managed Balanced Growth Model	\$10,000	(RIM0314) 35 bps
	Hybrid Conservative Model	\$10,000	(RIM0315) 35 bps
Hybrid Moderate Model	\$10,000	(RIM0316) 35 bps	
Hybrid Moderate Growth Model	\$10,000	(RIM0317) 35 bps	

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Russell Investment Management - Continued			
Contact: Joseph McNally (212) 702-7876 www.russellinvestments.com	Hybrid Balanced Model	\$10,000	(RIM0318) 35 bps
	Hybrid Balanced Growth Model	\$10,000	(RIM0319) 35 bps
	Hybrid Growth Model	\$10,000	(RIM0320) 35 bps
	Hybrid Equity Growth Model	\$10,000	(RIM0321) 35 bps
St. James Investment Advisors			
Contact: Brian C. Mark (800) 966-9343 www.stjamesia.com	High Dividend Yield	\$50,000	(SJA0301) 55 bps
	Blue Chip Dividend with Income	\$50,000	(SJA0302) 50 bps
	Growth	\$25,000	(SJA0303) 35 bps
	Moderate	\$25,000	(SJA0304) 35 bps
	Conservative	\$25,000	(SJA0305) 35 bps
	All Cap Equity	\$50,000	(SJA0307) 55 bps
St. James Investment Company			
Contact: Larry Redell (214) 267-1105 www.stjic.com	Select Value	\$40,000	(SJI0301) 75 bps
T. Rowe Price			
Contact: Nick Nolan (410) 345-5010 www.troweprice.com	U.S. Blue Chip Growth Equity SMA	\$100,000	(TRP0301) 55 bps
	U.S. Growth Stock SMA	\$100,000	(TRP0302) 55 bps
	U.S. Dividend Growth Equity SMA	\$100,000	(TRP0303) 55 bps
	U.S. Select Value Equity SMA	\$100,000	(TRP0304) 55 bps
	U.S. Value Equity SMA	\$100,000	(TRP0305) 55 bps
	U.S. Large-Cap Equity Income SMA	\$100,000	(TRP0306) 70 bps

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WBI Investments			
Contact: Matt Woehner (732) 842-4920 www.wbiinvestments.com	WBI BullBear Tax-Smart Balanced SMA	\$25,000	(WBI0301) 35 bps
	WBI BullBear Tax-Smart Retirement Income SMA	\$25,000	(WBI0302) 35 bps
	WBI BullBear Tax-Smart Dividend Income SMA	\$25,000	(WBI0303) 35 bps
	WBI BullBear Tax-Smart Dividend Growth SMA	\$25,000	(WBI0304) 35 bps
	WBI BullBear Trend Switch US 1000	\$25,000	(WBI0305) 90 bps
	WBI BullBear Trend Switch US 2000	\$25,000	(WBI0306) 90 bps
	WBI BullBear Trend Switch US 1000 Bond	\$25,000	(WBI0307) 90 bps
	WBI BullBear Trend Switch US 2000 Bond	\$25,000	(WBI0308) 90 bps
	WBI BullBear Trend Switch US Bond	\$25,000	(WBI0309) 90 bps
Ziegler Capital Management, LLC			
Contact: Adam Stojanowski (617) 835-9272 www.zieglercap.com	Red Granite Large Cap Growth	\$100,000	(ZCM0301) 70 bps