



# Model Management (XPN/AP7)

## Available Managers and Pricing

The American Portfolios Advisors, Inc. (APA) managers, strategies, minimums and fees are subject to change without notice. Thirty days written notice will be provided if pricing increases for existing accounts with a specific manager strategy.

Breakpoint pricing is available starting at \$500,000 and is based on each account's value. The breakpoint schedule is automatically applied to eligible accounts. Please confer with your Investment Advisor Representative (IAR) for detailed information on the breakpoint schedule.

| Manager  | Investment Style                            | Account Minimums | Manager Code/<br>Program Fee |
|--|---|------------------|------------------------------|
| <b>4Thought Financial Group</b>  |   |                  |                              |
| Contact: Jesse Mackey<br>(516) 300-1617<br>www.4tfg.com  | 4Thought Mutli-Contingency Unconstrained    | \$25,000         | <b>(4TF0301)</b><br>55 bps   |
|  | 4Thought Opportunistic Systematic Investing | \$25,000         | <b>(4TF0302)</b><br>55 bps   |
| <b>Advisors Capital Management</b>   |   |                  |                              |
| Contact: Claire Comerford<br>(201) 447-3400<br>www.advisorscapital.com                                   | Model Global Dividend                       | \$100,000        | <b>(ACM0301)</b><br>70 bps   |
|  | Model Global Growth                         | \$100,000        | <b>(ACM0302)</b><br>70 bps   |
|  | Model International Dividend                | \$100,000        | <b>(ACM0303)</b><br>70 bps   |
|  | Model Mid/Small Cap                         | \$100,000        | <b>(ACM0304)</b><br>70 bps   |
| <b>Alesco Advisors, LLC</b>  |   |                  |                              |
| Contact: Justin Sansone<br>(585) 586-0970<br>www.alescoadvisors.com                                      | Global Balanced                             | \$50,000         | <b>(ALE0301)</b><br>55 bps   |
| <b>Andersen Capital Management</b>   |   |                  |                              |
| Contact: Peter C Andersen<br>(617) 678-2002<br>www.andersencapitalmanagement.com                         | Weather Mark                                | \$75,000         | <b>(AND0301)</b><br>70 bps   |
| <b>Argus Investors' Counsel</b>  |   |                  |                              |
| Contact: Richard Ciuba<br>(212) 425-7500<br>www.argusinvest.com  | Core Equity                                 | \$100,000        | <b>(ARG0301)</b><br>55 bps   |
| <b>ARK Investment Management</b>   |   |                  |                              |
| Contact: Lisa Langley, Emerge Capital Management<br>(716) 970-4512/ 887-8EMERGE360<br>www.ark-invest.com | Disruptive Innovation Global Growth         | \$60,000         | <b>(ARK0301)</b><br>75 bps   |

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|---|---|------------------|------------------------------|
| <b>Beacon Capital Management, Inc.</b>  |   |                  |                              |
| Contact: Kartha James<br>(937) 569-4068<br>www.beaconinvesting.com  | Beacon Vantage 2.0 Aggressive           | \$25,000         | <b>(ERG0301)</b><br>55 bps   |
|   | Beacon Vantage 2.0 Balanced             | \$25,000         | <b>(ERG0302)</b><br>55 bps   |
|   | Beacon Vantage 2.0 Conervative          | \$25,000         | <b>(ERG0303)</b><br>55 bps   |
|   | Beacon Vantage 3.0 Aggressive           | \$25,000         | <b>(ERG0304)</b><br>55 bps   |
|   | Beacon Vantage 3.0 Balanced             | \$25,000         | <b>(ERG0305)</b><br>55 bps   |
| <b>Beaumont Capital Management</b>  |   |                  |                              |
| Contact: Daniel Dixon<br>(772) 742-2997<br>Contact: Denis Rezendes<br>(781) 531-8507<br>www.investbcm.com | BCM Decathlon Growth Tactics            | \$40,000         | <b>(BCM0301)</b><br>55 bps   |
|   | BCM Decathlon Moderate Tactics          | \$40,000         | <b>(BCM0302)</b><br>55 bps   |
| <b>Berkshire Asset Management</b>   |   |                  |                              |
| Contact: Jason Reilly<br>(570) 825-2600<br>www.berkmgt.com  | Dividend Growth and Income Strategy     | \$40,000         | <b>(BAM0301)</b><br>55 bps   |
| <b>BlackRock Investment Management</b>  |   |                  |                              |
| Contact: Brad Stanger<br>(646) 231-1603<br>www.blackrock.com  | Blackrock Target Allocation ETF - 0/100 | \$25,000         | <b>(BRK0301)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 10/90 | \$25,000         | <b>(BRK0302)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 100/0 | \$25,000         | <b>(BRK0303)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 20/80 | \$25,000         | <b>(BRK0304)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 30/70 | \$25,000         | <b>(BRK0305)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 40/60 | \$25,000         | <b>(BRK0306)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 50/50 | \$25,000         | <b>(BRK0307)</b><br>35 bps   |



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| <b>BlackRock Investment Management - Continued</b>                      |  |                  |                              |
| Contact: Brad Stanger<br>(646) 231-1603<br>www.blackrock.com            | Blackrock Target Allocation ETF - 60/40                          | \$25,000         | <b>(BRK0308)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 70/30                          | \$25,000         | <b>(BRK0309)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 80/20                          | \$25,000         | <b>(BRK0310)</b><br>35 bps   |
|   | Blackrock Target Allocation ETF - 90/10                          | \$25,000         | <b>(BRK0311)</b><br>35 bps   |
| <b>Broxton Capital Advisors</b>   |  |                  |                              |
| Contact: Allen Cooke<br>(310) 208-2151<br>www.broxtoncapital.com        | Alpha Portfolio  | \$25,000         | <b>(BCA0301)</b><br>75 bps   |
| <b>Capital Group (Am Funds)</b>   |  |                  |                              |
| Contact: Advisor Marketing<br>(800) 421-9900<br>www.thecapitalgroup.com | American Funds Moderate Growth and Income Model Portfolio F2     | \$25,000         | <b>(PSMG12)</b><br>35 bps    |
|   | American Funds Tax-Exempt Preservation Model F2                  | \$25,000         | <b>(PSTEP2)</b><br>35 bps    |
|   | American Funds Preservation Model F2                             | \$25,000         | <b>(PSP002)</b><br>35 bps    |
|   | American Funds Tax-Aware Conservative Growth and Income Model F2 | \$25,000         | <b>(PSAG12)</b><br>35 bps    |
|   | American Funds Conservative Growth and Income Model Portfolio F2 | \$25,000         | <b>(PSCG12)</b><br>35 bps    |
|   | American Funds Growth and Income Model F2                        | \$25,000         | <b>(PSGI02)</b><br>35 bps    |
|   | American Funds Growth Model F2                                   | \$25,000         | <b>(PSG002)</b><br>35 bps    |
|   | American Funds Global Growth Model F2                            | \$25,000         | <b>(PSGG02)</b><br>35 bps    |
|   | American Funds Retirement Income-Conservative Model F2           | \$25,000         | <b>(RIC002)</b><br>35 bps    |
|   | American Funds Retirement Income-Moderate Model F2               | \$25,000         | <b>(RIM002)</b><br>35 bps    |

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|---|--|------------------|------------------------------|
| <b>Capital Group (Am Funds) - Continued</b>                             |  |                  |                              |
| Contact: Advisor Marketing<br>(800) 421-9900<br>www.thecapitalgroup.com | American Funds Retirement Income-Enhanced Model F2                     | \$25,000         | <b>(RIE002)</b><br>35 bps    |
|   | American Funds Conservative Income Model F2                            | \$25,000         | <b>(AFCI02)</b><br>35 bps    |
|   | American Funds Moderate Growth Model F2                                | \$25,000         | <b>(AFMG02)</b><br>35 bps    |
|   | American Funds Tax-Aware Growth and Income Model Portfolio F2          | \$25,000         | <b>(TAGIF2)</b><br>35 bps    |
|   | American Funds Tax-Aware Moderate Growth and Income Model Portfolio F2 | \$25,000         | <b>(TAMGF2)</b><br>35 bps    |
|   | American Funds Tax-Aware Moderate Income Model Portfolio F2            | \$25,000         | <b>(TAMIF2)</b><br>35 bps    |
|   | American Funds Tax-Aware Conservative Income Model Portfolio F2        | \$25,000         | <b>(TACVF2)</b><br>35 bps    |
| <b>Capital Group (SMA)</b>  |  |                  |                              |
| Contact: Advisor Marketing<br>(800) 421-9900<br>www.thecapitalgroup.com | Capital Group US Equity  | \$100,000        | <b>(EUSEQT)</b><br>55 bps    |
|   | Capital Group Global Equity  | \$100,000        | <b>(EGLEQT)</b><br>55 bps    |
|   | Capital Group World Dividend Growers                                   | \$100,000        | <b>(EDIVGR)</b><br>55 bps    |
|   | Capital Group Non US/International Equity                              | \$100,000        | <b>(EINTEQ)</b><br>55 bps    |
|   | Capital Group Global Growth  | \$100,000        | <b>(EGLGRW)</b><br>55 bps    |
|   | Capital Group International Growth                                     | \$100,000        | <b>(EINGRW)</b><br>55 bps    |
|   | Capital Group U.S. Core  | \$100,000        | <b>(EUSCOR)</b><br>55 bps    |
|   | Capital Group U.S. Growth  | \$100,000        | <b>(EUSGRW)</b><br>55 bps    |
|   | Capital Group U.S. Income & Growth                                     | \$100,000        | <b>(EUSIGR)</b><br>55 bps    |

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|--|---|------------------|------------------------------|
| <b>Clark Capital</b>   |   |                  |                              |
| Contact: Joseph Bell<br>(800) 766-2264, ext. 260<br>www.ccmg.com | Navigator MultiStrategy 75-25                 | \$25,000         | <b>(CCM0302)</b><br>35 bps   |
|  | Navigator MultiStrategy 50-50                 | \$25,000         | <b>(CCM0303)</b><br>35 bps   |
|  | Navigator MultiStrategy 25-75                 | \$25,000         | <b>(CCM0304)</b><br>35 bps   |
|  | Navigator Global Tactical                     | \$25,000         | <b>(CCM0305)</b><br>70 bps   |
|  | Navigator Global Risk Managed<br>Conservative | \$25,000         | <b>(CCM0308)</b><br>35 bps   |
|  | Navigator Global Risk Managed Moderate        | \$25,000         | <b>(CCM0309)</b><br>35 bps   |
|  | Navigator Global Risk Managed Growth          | \$25,000         | <b>(CCM0310)</b><br>35 bps   |
| <b>Congress Asset Management</b>                                 |   |                  |                              |
| Contact: Vadim Levin<br>(617) 428-4383<br>www.congressasset.com  | Large Cap Growth                              | \$100,000        | <b>(CAM0301)</b><br>75 bps   |
|  | Mid Cap Growth                                | \$50,000         | <b>(CAM0302)</b><br>75 bps   |
|  | Small Cap Growth                              | \$50,000         | <b>(CAM0303)</b><br>75 bps   |
|  | Multi Cap Growth                              | \$100,000        | <b>(CAM0304)</b><br>75 bps   |
|  | Dividend Growth                               | \$50,000         | <b>(CAM0305)</b><br>75 bps   |
|  | Large Cap Balanced                            | \$150,000        | <b>(CAM0307)</b><br>75 bps   |
|  | Multi Cap Balanced                            | \$150,000        | <b>(CAM0308)</b><br>75 bps   |
|  | SMID Growth                                   | \$50,000         | <b>(CAM0309)</b><br>75 bps   |

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|--|--|------------------|------------------------------|
| <b>Dana Investment Advisors</b>  |  |                  |                              |
| Contact: Mark Mirsberger<br>(262) 782-9420<br>www.danainvestment.com   | Social ESG Equity Strategy                     | \$75,000         | <b>(DIA0301)</b><br>70 bps   |
| <b>Davis Advisors</b>  |  |                  |                              |
| Contact: Dodd Kittsley<br>(212) 891-5578<br>www.DavisAdvisors.com      | Davis Global ADR                               | \$50,000         | <b>(DAV0301)</b><br>70 bps   |
|  | Davis Large Cap                                | \$50,000         | <b>(DAV0302)</b><br>70 bps   |
| <b>Dividend Assets Capital</b>   |  |                  |                              |
| Contact: Jonathan Parrott<br>(843) 645-9700<br>www.dacapitalsc.com     | DAC Equity                                     | \$50,000         | <b>(DAC0301)</b><br>75 bps   |
|  | DAC North American Midstream Energy            | \$100,000        | <b>(DAC0302)</b><br>75 bps   |
| <b>Donoghue Forlines, LLC</b>  |  |                  |                              |
| Contact: Curt Meyer<br>(401) 954-7220<br>www.donoghueforlines.com      | Donoghue Forlines Income                       | \$25,000         | <b>(WED0301)</b><br>35 bps   |
|  | Donoghue Forlines Dividend & Yield             | \$25,000         | <b>(WED0302)</b><br>35 bps   |
|  | Donoghue Forlines Global Tactical Conservative | \$25,000         | <b>(WED0309)</b><br>50 bps   |
|  | Donoghue Forlines Global Tactical Income       | \$25,000         | <b>(WED0311)</b><br>50 bps   |
|  | Donoghue Forlines Treasury                     | \$25,000         | <b>(WED0313)</b><br>55 bps   |
| <b>Franklin Templeton</b>  |  |                  |                              |
| Contact: Peter Conneely<br>(516) 633-8007<br>www.franklintempleton.com | Stability                                      | \$25,000         | <b>(TFN0301)</b><br>35 bps   |
|  | Rising Rate Defense                            | \$25,000         | <b>(TFN0302)</b><br>35 bps   |
|  | Tax-Advantaged Stability                       | \$25,000         | <b>(TFN0303)</b><br>35 bps   |
|  | Income   | \$25,000         | <b>(TFN0304)</b><br>35 bps   |
|  | Tax-Advantaged Income                          | \$25,000         | <b>(TFN0305)</b><br>35 bps   |

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|--|-----------------------------------|------------------|------------------------------|
| <b>Franklin Templeton - Continued</b>                                  |                                   |                  |                              |
| Contact: Peter Conneely<br>(516) 633-8007<br>www.franklintempleton.com | Growth & Income                   | \$25,000         | <b>(TFN0306)</b><br>35 bps   |
|  | Growth & Enhanced Income          | \$25,000         | <b>(TFN0307)</b><br>35 bps   |
|  | Tax-Advantaged Growth & Income    | \$25,000         | <b>(TFN0308)</b><br>35 bps   |
|  | Growth                            | \$25,000         | <b>(TFN0309)</b><br>35 bps   |
|  | Moderate Growth                   | \$25,000         | <b>(TFN0310)</b><br>35 bps   |
|  | Conservative Growth               | \$25,000         | <b>(TFN0311)</b><br>35 bps   |
|  | Equity Growth                     | \$25,000         | <b>(TFN0312)</b><br>35 bps   |
| <b>Global X Management Company</b>                                     |                                   |                  |                              |
| Contact: Stephen Cirillo<br>(646) 757-5418<br>www.globalxetfs.com      | Equity Income ETF Model Portfolio | \$25,000         | <b>(EZR0301)</b><br>35 bps   |
| <b>Hilton Capital Management</b>                                       |                                   |                  |                              |
| Contact: Kevin McCarthy<br>(646) 572-3388<br>www.HiltonCM.com          | Dividend & Yield Strategy         | \$50,000         | <b>(HCM0301)</b><br>55 bps   |
|  | Efficient Tactical Income         | \$25,000         | <b>(HCM0302)</b><br>55 bps   |
|  | Tactical Income Hybrid            | \$50,000         | <b>(HCM0303)</b><br>70 bps   |
|  | Small & Midcap Opportunity        | \$50,000         | <b>(HCM0304)</b><br>70 bps   |
| <b>Horizon Investments</b>   |                                   |                  |                              |
| Contact: Sarah Weese<br>(980) 250-2315<br>www.horizoninvestments.com   | Horizon Focus with Risk Assist    | \$50,000         | <b>(EWC0301)</b><br>35 bps   |
|  | Horizon Growth with Risk Assist   | \$50,000         | <b>(EWC0302)</b><br>35 bps   |
|  | Horizon Moderate with Risk Assist | \$50,000         | <b>(EWC0303)</b><br>35 bps   |

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|---|--|------------------|------------------------------|
| <b>Hudson Valley Investment Advisors</b>                          |  |                  |                              |
| Contact: Gus Scacco<br>(845) 294-6127<br>www.hviaonline.com       | HVIA Select Equity                                     | \$150,000        | <b>(EUH0301)</b><br>70 bps   |
| <b>ICON Advisers</b>  |  |                  |                              |
| Contact: Brian Rotter<br>(303) 328-9254<br>www.investwithicon.com | US Conservative  | \$25,000         | <b>(ICN0301)</b><br>25 bps   |
|   | US Moderate  | \$25,000         | <b>(ICN0302)</b><br>25 bps   |
|   | US Growth  | \$25,000         | <b>(ICN0303)</b><br>25 bps   |
|   | US Income  | \$25,000         | <b>(ICN0304)</b><br>25 bps   |
|   | International Growth                                   | \$25,000         | <b>(ICN0308)</b><br>25 bps   |
|   | SBI Global Conservative                                | \$25,000         | <b>(ICN0309)</b><br>35 bps   |
|   | SBI Global Moderate                                    | \$25,000         | <b>(ICN0310)</b><br>35 bps   |
|   | SBI Global Growth                                      | \$25,000         | <b>(ICN0311)</b><br>35 bps   |
| <b>Invesco Advisers, Inc.</b>                                     |  |                  |                              |
| Contact: Sal Maia<br>(212) 323-4375<br>www.invesco.com/us         | Invesco International Diversification Blend Portfolio  | \$25,000         | <b>(INV0301)</b><br>35 bps   |
|   | Invesco International Diversification Equity Portfolio | \$25,000         | <b>(INV0302)</b><br>35 bps   |
|   | Invesco US Factor Rotation Portfolio                   | \$25,000         | <b>(INV0303)</b><br>35 bps   |
|   | Invesco Bulletshares Corporate 0-10 Years              | \$10,000         | <b>(INV0304)</b><br>35 bps   |
|   | Invesco Bulletshares Corporate 0-3 Years               | \$10,000         | <b>(INV0305)</b><br>35 bps   |
|   | Invesco Bulletshares Corporate 0-5 Years               | \$10,000         | <b>(INV0306)</b><br>35 bps   |
|   | Invesco Bulletshares Corporate 0-7 Years               | \$10,000         | <b>(INV0307)</b><br>35 bps   |
|   | Invesco Bulletshares Municipal 0-10 Years              | \$10,000         | <b>(INV0308)</b><br>35 bps   |
|   | Invesco Bulletshares Municipal 0-3 Years               | \$10,000         | <b>(INV0309)</b><br>35 bps   |



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|--|--|------------------|------------------------------|
| <b>Invesco Advisers, Inc. - Continued</b>  |  |                  |                              |
| Contact: Sal Maia<br>(212) 323-4375<br><a href="http://www.invesco.com/us">www.invesco.com/us</a>          | Invesco Bulletshares Municipal 0-5 Years                     | \$10,000         | <b>(INV0310)</b><br>35 bps   |
|  | Invesco Bulletshares Municipal 0-7 Years                     | \$10,000         | <b>(INV0311)</b><br>35 bps   |
|  | Invesco Pinnacle Series:<br>Hybrid Plus 20 MM Portfolios     | \$10,000         | <b>(INV0312)</b><br>35 bps   |
|  | Invesco Pinnacle Series:<br>Hybrid Plus 40 MM Portfolios     | \$10,000         | <b>(INV0313)</b><br>35 bps   |
|  | Invesco Pinnacle Series:<br>Hybrid Plus 60 MM Portfolios     | \$10,000         | <b>(INV0314)</b><br>35 bps   |
|  | Invesco Pinnacle Series:<br>Hybrid Plus 80 MM Portfolios     | \$10,000         | <b>(INV0315)</b><br>35 bps   |
|  | Invesco Pinnacle Series:<br>Hybrid Plus Equity MM Portfolios | \$10,000         | <b>(INV0316)</b><br>35 bps   |
| <b>Logan Capital Management, Inc.</b>  |  |                  |                              |
| Contact: Chris Travers<br>(215) 851-9499<br><a href="http://www.logancapital.com">www.logancapital.com</a> | Concentrated Value   | \$50,000         | <b>(LCM0301)</b><br>70 bps   |
|  | Large Cap Growth   | \$100,000        | <b>(LCM0302)</b><br>70 bps   |
|  | Core 60/40   | \$150,000        | <b>(LCM0303)</b><br>70 bps   |
|  | International ADR  | \$50,000         | <b>(LCM0304)</b><br>70 bps   |
|  | Growth   | \$50,000         | <b>(LCM305)</b><br>65 bps    |
| <b>Nasdaq Dorsey Wright</b>  |  |                  |                              |
| Contact: Rob DeAngelo<br>(212) 401-8970<br><a href="http://www.dorseywright.com">www.dorseywright.com</a>  | SRS Growth   | \$60,000         | <b>(NDW0301)</b><br>75 bps   |
|  | SRS International  | \$60,000         | <b>(NDW0302)</b><br>75 bps   |
|  | SRS Global Macro   | \$60,000         | <b>(NDW0303)</b><br>75 bps   |
|  | DWA Systematic RS Tactical Fixed Income                      | \$40,000         | <b>(NDW0304)</b><br>75 bps   |

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|---|--|----------------------------|------------------------------|
| <b>Nine Points Investment Management (NPIM)</b>   |  |                            |                              |
| Contact: Clifford Walsh<br>(631) 439-4600, ext. 277<br><a href="http://www.npim.com">www.npim.com</a> | Nine Points ETF Income                     | \$10,000                   | <b>(NPI0301)</b><br>35 bps   |
|   | Nine Points ETF Income & Growth            | \$10,000                   | <b>(NPI0302)</b><br>35 bps   |
|   | Nine Points ETF Growth & Income            | \$10,000                   | <b>(NPI0303)</b><br>35 bps   |
|   | Nine Points ETF Growth                     | \$10,000                   | <b>(NPI0304)</b><br>35 bps   |
|   | Nine Points ETF Aggressive Growth          | \$10,000                   | <b>(NPI0305)</b><br>35 bps   |
|   | Nine Points MF Income                      | \$25,000                   | <b>(NPI0306)</b><br>35 bps   |
|   | Nine Points MF Income & Growth             | \$25,000                   | <b>(NPI0307)</b><br>35 bps   |
|   | Nine Points MF Growth & Income             | \$25,000                   | <b>(NPI0308)</b><br>35 bps   |
|   | Nine Points MF Growth                      | \$25,000                   | <b>(NPI0309)</b><br>35 bps   |
|   | Nine Points MF Aggressive Growth           | \$25,000                   | <b>(NPI0310)</b><br>35 bps   |
|   | Nine Points Impact Income                  | \$25,000                   | <b>(NPI0311)</b><br>35 bps   |
|   | Nine Points Impact Income & Growth         | \$25,000                   | <b>(NPI0312)</b><br>35 bps   |
|   | Nine Points Impact Growth & Income         | \$25,000                   | <b>(NPI0313)</b><br>35 bps   |
|   | Nine Points Impact Growth                  | \$25,000                   | <b>(NPI0314)</b><br>35 bps   |
|   | Nine Points Impact Aggressive Growth       | \$25,000                   | <b>(NPI0315)</b><br>35 bps   |
|   | Nine Points Socially Responsible All Stock | \$50,000                   | <b>(NPI0316)</b><br>35 bps   |
|   | Nine Points ThemeCatcher                   | \$50,000                   | <b>(NPI0317)</b><br>70 bps   |
|   | Nine Points Cash Alternative Model         | \$5,000                    | <b>(NPI0318)</b><br>35 bps   |
| Nine Points Equity  | \$10,000                                   | <b>(NPI0319)</b><br>35 bps |                              |
| Nine Points Fixed Income  | \$10,000                                   | <b>(NPI0320)</b><br>35 bps |                              |

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|---|--|------------------|------------------------------|
| <b>Nine Points Investment Management (NPIM) - Continued</b>         |  |                  |                              |
| Contact: Clifford Walsh<br>(631) 439-4600, ext. 277<br>www.npim.com | Nine Points Taxable Fixed Income                 | \$10,000         | <b>(NPI0321)</b><br>35 bps   |
|   | Nine Points Alternatives                         | \$10,000         | <b>(NPI0322)</b><br>35 bps   |
|   | Nine Points ThemeCatcher Offense                 | \$50,000         | <b>(NPI0323)</b><br>70 bps   |
| <b>Northern Trust Investments, Inc.</b>                             |  |                  |                              |
| Contact: Vernon Biggs<br>(646) 400-1825<br>www.northerntrust.com    | Quality ESG US Equity                            | \$100,000        | <b>(NOT0301)</b><br>55 bps   |
|   | Quality Low Volatility                           | \$100,000        | <b>(NOT0302)</b><br>55 bps   |
| <b>Novem Group</b>  |  |                  |                              |
| Contact: Thomas Lyons<br>(585) 348-9456<br>www.novemgroup.com       | Novem Capital Appreciation                       | \$25,000         | <b>(NOV0303)</b><br>55 bps   |
|   | Novem Intrinsic Value                            | \$50,000         | <b>(NOV0304)</b><br>55 bps   |
|   | Novem Dividend Select                            | \$25,000         | <b>(NOV0305)</b><br>55 bps   |
| <b>Putnam Investment Management</b>                                 |  |                  |                              |
| Contact: Stephen Olsen<br>(978) 407-9411<br>www.putnam.com          | Putnam International Durable Equity ADR          | \$30,000         | <b>(EZQ0301)</b><br>70 bps   |
|   | Putnam Sustainable Future                        | \$80,000         | <b>(EZQ0302)</b><br>70 bps   |
|   | Putnam Sustainable Leaders                       | \$100,000        | <b>(EZQ0303)</b><br>70 bps   |
|   | Putnam U.S. Large Cap Growth Equity Concentrated | \$80,000         | <b>(EZQ0304)</b><br>70 bps   |
|   | Putnam U.S. Large Cap Value Equity Concentrated  | \$40,000         | <b>(EZQ0305)</b><br>70 bps   |
|   | Putnam U.S. Mutli-Cap Core Equity Concentrated   | \$100,000        | <b>(EZQ0306)</b><br>70 bps   |
|   | Putnam U.S. Small Cap Growth Equity              | \$80,000         | <b>(EZQ0307)</b><br>70 bps   |
|   | Putnam U.S. Small Cap Value Equity               | \$40,000         | <b>(EZQ0308)</b><br>70 bps   |

| Manager  | Investment Style                  | Account Minimums           | Manager Code/<br>Program Fee |
|--|-----------------------------------|----------------------------|------------------------------|
| <b>Rothschild Asset Management</b>   |                                   |                            |                              |
| Contact: Eric Rowan<br>(248) 224-6224<br>Contact: Christopher Genovese (AAM)<br>(631) 424-6831, ext. 1<br>www.rothschild.com | Large Cap Core                    | \$100,000                  | <b>(RAM0301)</b><br>70 bps   |
| <b>Russell Investment Management</b>   |                                   |                            |                              |
| Contact: Joseph McNally<br>(212) 702-7876<br>www.russellinvestments.com  | Core Conservative Model           | \$10,000                   | <b>(RIM0301)</b><br>35 bps   |
|  | Core Moderate Model               | \$10,000                   | <b>(RIM0302)</b><br>35 bps   |
|  | Core Balanced Model               | \$10,000                   | <b>(RIM0303)</b><br>35 bps   |
|  | Core Growth Model                 | \$10,000                   | <b>(RIM0304)</b><br>35 bps   |
|  | Core Equity Growth Model          | \$10,000                   | <b>(RIM0305)</b><br>35 bps   |
|  | Core Moderate Growth Model        | \$10,000                   | <b>(RIM0306)</b><br>35 bps   |
|  | Core Balanced Growth Model        | \$10,000                   | <b>(RIM0307)</b><br>35 bps   |
|  | Tax Managed Conservative Model    | \$10,000                   | <b>(RIM0308)</b><br>35 bps   |
|  | Tax Managed Moderate Model        | \$10,000                   | <b>(RIM0309)</b><br>35 bps   |
|  | Tax Managed Balanced Model        | \$10,000                   | <b>(RIM0310)</b><br>35 bps   |
|  | Tax Managed Growth Model          | \$10,000                   | <b>(RIM0311)</b><br>35 bps   |
|  | Tax Managed Equity Growth Model   | \$10,000                   | <b>(RIM0312)</b><br>35 bps   |
|  | Tax-Managed Moderate Growth Model | \$10,000                   | <b>(RIM0313)</b><br>35 bps   |
|  | Tax-Managed Balanced Growth Model | \$10,000                   | <b>(RIM0314)</b><br>35 bps   |
|  | Hybrid Conservative Model         | \$10,000                   | <b>(RIM0315)</b><br>35 bps   |
| Hybrid Moderate Model  | \$10,000                          | <b>(RIM0316)</b><br>35 bps |                              |
| Hybrid Moderate Growth Model   | \$10,000                          | <b>(RIM0317)</b><br>35 bps |                              |

| Manager   | Investment Style                 | Account Minimums | Manager Code/<br>Program Fee |
|---|----------------------------------|------------------|------------------------------|
| <b>Russell Investment Management - Continued</b>                        |                                  |                  |                              |
| Contact: Joseph McNally<br>(212) 702-7876<br>www.russellinvestments.com | Hybrid Balanced Model            | \$10,000         | <b>(RIM0318)</b><br>35 bps   |
|   | Hybrid Balanced Growth Model     | \$10,000         | <b>(RIM0319)</b><br>35 bps   |
|   | Hybrid Growth Model              | \$10,000         | <b>(RIM0320)</b><br>35 bps   |
|   | Hybrid Equity Growth Model       | \$10,000         | <b>(RIM0321)</b><br>35 bps   |
| <b>St. James Investment Advisors</b>                                    |                                  |                  |                              |
| Contact: Brian C. Mark<br>(800) 966-9343<br>www.stjamesia.com           | High Dividend Yield              | \$50,000         | <b>(SJA0301)</b><br>55 bps   |
|   | Blue Chip Dividend with Income   | \$50,000         | <b>(SJA0302)</b><br>50 bps   |
|   | Growth                           | \$25,000         | <b>(SJA0303)</b><br>35 bps   |
|   | Moderate                         | \$25,000         | <b>(SJA0304)</b><br>35 bps   |
|   | Conservative                     | \$25,000         | <b>(SJA0305)</b><br>35 bps   |
|   | All Cap Equity                   | \$50,000         | <b>(SJA0307)</b><br>55 bps   |
| <b>St. James Investment Company</b>                                     |                                  |                  |                              |
| Contact: Larry Redell<br>(214) 267-1105<br>www.stjic.com                | Select Value                     | \$40,000         | <b>(SJI0301)</b><br>75 bps   |
| <b>T. Rowe Price</b>  |                                  |                  |                              |
| Contact: Nick Nolan<br>(410) 345-5010<br>www.troweprice.com             | U.S. Blue Chip Growth Equity SMA | \$100,000        | <b>(TRP0301)</b><br>55 bps   |
|   | U.S. Growth Stock SMA            | \$100,000        | <b>(TRP0302)</b><br>55 bps   |
|   | U.S. Dividend Growth Equity SMA  | \$100,000        | <b>(TRP0303)</b><br>55 bps   |
|   | U.S. Select Value Equity SMA     | \$100,000        | <b>(TRP0304)</b><br>55 bps   |
|   | U.S. Value Equity SMA            | \$100,000        | <b>(TRP0305)</b><br>55 bps   |
|   | U.S. Large-Cap Equity Income SMA | \$100,000        | <b>(TRP0306)</b><br>70 bps   |

| Manager   | Investment Style                             | Account Minimums | Manager Code/<br>Program Fee |
|---|--|------------------|------------------------------|
| <b>WBI Investments</b>  |  |                  |                              |
| Contact: Matt Woehner<br>(732) 842-4920<br>www.wbiinvestments.com | WBI BullBear Tax-Smart Balanced SMA          | \$25,000         | <b>(WBI0301)</b><br>35 bps   |
|   | WBI BullBear Tax-Smart Retirement Income SMA | \$25,000         | <b>(WBI0302)</b><br>35 bps   |
|   | WBI BullBear Tax-Smart Dividend Income SMA   | \$25,000         | <b>(WBI0303)</b><br>35 bps   |
|   | WBI BullBear Tax-Smart Dividend Growth SMA   | \$25,000         | <b>(WBI0304)</b><br>35 bps   |
|   | WBI BullBear Trend Switch US 1000            | \$25,000         | <b>(WBI0305)</b><br>90 bps   |
|   | WBI BullBear Trend Switch US 2000            | \$25,000         | <b>(WBI0306)</b><br>90 bps   |
|   | WBI BullBear Trend Switch US 1000 Bond       | \$25,000         | <b>(WBI0307)</b><br>90 bps   |
|   | WBI BullBear Trend Switch US 2000 Bond       | \$25,000         | <b>(WBI0308)</b><br>90 bps   |
|   | WBI BullBear Trend Switch US Bond            | \$25,000         | <b>(WBI0309)</b><br>90 bps   |
| <b>Ziegler Capital Management, LLC</b>                            |  |                  |                              |
| Contact: Adam Stojanowski<br>(617) 835-9272<br>www.zieglercap.com | Red Granite Large Cap Growth                 | \$100,000        | <b>(ZCM0301)</b><br>70 bps   |